

## Health on the road

Keeping health information safe while working in the community



This guide aims to help you keep health information secure while you are off-site or on the road.

## What is your responsibility?

Rule 5 of the Health Information Privacy Code (HIPC) focuses on whether a health agency has taken reasonable steps to keep information safe.

When deciding what steps are reasonable, you should consider:

- the sensitivity of the health information
- how a security measure will impact your ability to carry out your functions
- the likely consequences if the health information is lost or stolen.

Health agencies are responsible for developing a security policy and making sure their employees know about it.

Agencies should do everything they reasonably can to protect the health information they have and make it difficult for someone to misuse it. This means designing security systems and policies in anticipation that theft or break-ins may occur.

We have created guidance called <u>Poupou Matatapu</u>, which sets out our expectations about what good privacy practice looks like and helps you get there. We encourage you to use the content from both Poupou Matatapu and this guidance to make your own checklists, templates or 'one-pager' resources.

# Before you go

When you travel, only take the information you need to complete your work. Whenever you take any health information off-site, whether





you're taking physical documents or using electronic devices offsite, you're exposing it to more risk than if you'd left it in the office, hospital, or clinic.

## **Security for electronic information**

You may have a choice between taking physical documents off-site or operating off-site with an electronic device such as a laptop, smartphone, or external hard drive.

Unless your agency or employer has a policy that specifically permits the use of personal devices, you should not use a personal device to access health information. The security you use on your device needs to be at least as good as the security you use at work:

**Use varied and strong passwords on each of your accounts** - set a different strong password, passcode or pattern lock on your devices and for each account you need to access.

**Make sure your devices are protected and updated** - is the security software on your phone, tablet and laptop up to date? Are there firewalls and current antivirus software in place, and are these up to date?

**Enable multi-factor authentication** – when you try to log into an account with multi-factor authentication, the account will ask for a unique code, which will be sent to your device. If you receive a unique code from an account you are not trying to log into, it will mean someone is trying to access your account, giving you time to login and change your password.

**Secure health information on the device** - find out if you can use password protection on certain documents or if you can encrypt the information.



<sup>&</sup>lt;sup>1</sup> See: HISO 10029:2022



Only use secure Wi-Fi networks to share information – if you can't find secure Wi-Fi, wait until you're back in the office to share information electronically.

#### On the go

We often hear about bags or laptops stolen from cars. Check:

- Is this health information something you should be leaving in your car?
- If you must leave health information in your car, can you put it out of sight? For instance, in a locked glovebox or in the boot?
- Are you returning the health information to your office at the earliest possible opportunity?

To ensure information is not lost or left behind in transit, e.g. in taxis, public transport or other vehicles, consider:

 Have you taken steps to remind yourself to take the health information with you when you stop on your journey?

# Once you get there

How will you secure the health information once you've reached your destination? If you're taking the information to another health agency or facility, that may be relatively easy to do.

Community care workers sometimes need to take health information home with them. For instance, you may store information on a USB flash drive, or you may have clinical images stored on a mobile device. Devices like these are easy to transport and are also easy to accidentally misplace.

If your agency or employer allows you to take health information home, you should discuss with your agency or employer what additional security measures can be put in place to help you.



- Some workers may have access to a password-protected lockable mobile device, or even a lockable file box.
- Health information might be made available to you in a different way, for instance, by setting up remote access to your work computer.

If your agency or employer doesn't have a security policy for health information stored offsite, you should raise that with them so they can develop one.

## Why does this matter?

Keeping information secure is an essential step in maintaining the trust of patients and clients. There can be direct consequences for the person or people whose information is lost, and for your agency or employer.

If you fail to take appropriate steps to keep health information secure while you're off-site, you could face disciplinary action, by your employer and/or through a professional standards body. There may be consequences for your professional registration. Your agency or employer could face reputational damage, someone could make a complaint to the Privacy Commissioner, or the Privacy Commissioner may take compliance action against your agency.

# What if something does go wrong?

It's important to be upfront if something goes wrong. Most agencies and employers accept that mistakes can happen and would prefer that staff let them know so that shortcomings can be addressed appropriately. Similarly, most patients will be more likely to be understanding and willing to listen if you've made efforts to address the problem quickly and transparently.





If you find yourself dealing with a situation where health information has been stolen or lost, there are four key steps to take:

- 1. **Containment** prevent the situation from worsening.
- 2. **Evaluation** evaluate the potential harm that may be caused.
- Notification decide whether the seriousness of the situation requires you to notify people who may be affected and/or the Office of the Privacy Commissioner.
- 4. **Prevention** learn the lessons and reduce the chances of a repeat. Even if there isn't a breach, near misses provide the perfect opportunity for your organisation to examine your processes and improve your privacy game.

## You may need to notify us if there has been a privacy breach

Under the Privacy Act 2020, if your agency has a privacy breach that either has caused or is likely to cause anyone serious harm, you must notify the Privacy Commissioner and any affected people as soon as you are able. You need to assess whether this threshold has been met before you contact our office.

The unwanted sharing, exposure, or loss of access to people's personal information may cause individuals or groups serious harm. Health information is more sensitive than other personal information and therefore more likely to cause serious harm.

#### **Examples of serious harm include:**

- Physical harm or intimidation
- Financial fraud
- Family violence
- Psychological, or emotional harm.

As a guide, we expect that a breach notification should be made to our Office no later than 72 hours after agencies become aware of a notifiable privacy breach.



You can report your serious harm privacy breaches to us through NotifyUs.

## Checklist of questions to ask before you go off-site

- ☑ Do I need everything I'm planning to take? (If not, leave it behind!)
- ☑ What are my safest choices in accessing the health information on a job?
- ☑ What can I do to make sure the health information I take off-site is safe and secure (to prevent accidental loss or theft)?
- ☑ Is there anything else I can do to make sure the health information remains safe while I am off-site?
- ☑ When I get to my destination, how will I store the health information safely?
- ☑ Do I know what to do if something goes wrong?

#### **Contact us**

- privacy.org.nz
- privacy.org.nz/enquiries
- privacy.org.nz/ask

#### Other resources

New Zealand Medical Association (NZMA), <u>Clinical images and the use of personal mobile devices</u>, <u>2016</u> (opens to PDF).

National Cyber Security Centre (NCSC), <u>Working Remotely: Advice for Organisations and Staff</u>, 2020 (opens to PDF).

