

Appendix B: Financials for period ending 30 Sept 2023

Statement of Comprehensive Income For the 3 Months to 30 September 2023

Prev. Year		Sept 2023	Sept 23	YTD	YTD	Year-End	Year-End
YTD		YTD	YTD	YTD	YTD	Outlook	SPE
Actual		Actual	Budget	Var	Var		Forecast
\$000		\$000	\$000	\$000	%	\$000	\$000
	Revenue						
1,848	Revenue from Crown	2,043	2,043	-	-	8,171	8,171
58	Other Income	84	87	(3)	(3)	152	163
10	Interest	30	12	18	150	66	48
1,916	Total revenue	2,157	2,142	15	0.7	8,389	8,382
	Expenditure						
17	Marketing	6	28	(22)	(78)	109	130
-	Audit Fees	0	0	-	-	51	51
73	Depreciation	63	64	(1)	(1.6)	204	205
107	Rental	109	108	1	0.8	439	438
253	Operating	425	370	54	14	1,633	1,363
1,356	Staff Costs	1,618	1,579	39	2.5	6,565	6,234
1,806	Total expenditure	2,221	2,149	72	3.4	9,001	8,421
110	Net surplus / (deficit)	(64)	(7)	(57)	(814)	(612)	(39)

Statement of Financial Position As at 30 September 2023

	Sept 2023	Sept 2023	YTD	Year-End	Year-End
	Actual	Budget	Var	Outlook	SPE
	\$000	\$000	\$000	\$000	Forecast
ASSETS					
Current Assets					
Cash & Cash Equivalent	2,575	2,571	4	2,022	2,639
Debtors and Other Receivables	63	24	39	57	32
Prepayments	112	90	22	115	100
Total Current Assets	2,750	2,685	65	2,194	2,771
Current Liabilities					
Creditors and other payables	183	130	53	180	150
Employee Entitlements	362	260	102	260	260
Total Current Liabilities	545	390	155	440	410
Working Capital	2,205	2,295	(90)	1,754	2,361
Non-Current Assets					
Property, Plant and Equipment	283	268	15	212	206
Intangible Assets	53	61	(8)	22	20
Total Non-Current Assets	336	329	7	234	226
Non-current Liabilities	8	7	1	3	2
Net Assets	2,533	2,617	(84)	1,985	2,585
Public Equity					
Opening Balance	2,597	2,624	(27)	2,597	2,624

	Sept 2023	Sept 2023		Year-End	Year-End
	Actual	Budget	YTD	Outlook	SPE
	\$000	\$000	Var	\$000	\$000
	\$000	\$000	\$000	\$000	\$000
Accumulated Surplus	(64)	(7)		(612)	(39)
Total Public Equity	2,533	2,617	(84)	1,985	2,585

**Statement of Cash Flows
As at 30 September 2023**

	Sep 2023	Sep 2023	Year-End	Year-End
	Actual	Budget	Outlook	SPE
	\$000	\$000	\$000	Forecast
	\$000	\$000	\$000	\$000
Cash Flows from Operating Activities				
<i>Cash was Provided from:</i>				
Government Grant	2,043	2,043	8,171	8,171
Other Income	78	87	177	163
Interest	30	12	66	48
	2,151	2,142	8,414	8,382
<i>Cash was Applied to:</i>				
Payments to Suppliers	483	591	2,188	2,063
Payments to Employees	1,503	1,589	6,552	6,244
Payments of GST	(25)	(26)	(1)	(19)
	1,961	2,153	8,739	8,288
Net Cash Flow applied to Operating Activities	190	(12)	(325)	94
Cash Flows from Investment Activities				
Cash was applied to				-
Purchase of Fixed Assets	4	12	42	50
<i>Net Cash flows applied to Investing Activities</i>	(4)	(12)	(42)	(50)
Cash was Provided from:				
Sale of Fixed Assets	-	-	-	-
Net Cash Flow from Investment Activities	(4)	(12)	(42)	(50)
Net Increase/(Decrease) in Cash Held	186	(24)	(367)	44
Cash brought forward	2,389	2,595	2,389	2,595
Closing cash carried forward	2,575	2,571	2,022	2,639
Cash made up of:				
Cash on hand	-	-	-	-
National Bank - Cheque	270	1,571	1,022	1,639
National Bank - Deposit	2,304	1,000	1,000	1,000
	2,574	2,571	2,022	2,639

Appendix C: Performance against Statements of Service Performance - Year to Date

Output 1 – Strategy and Insights

Measure	Achieved As at 30 Sept	Expectation As at 30 Sep (as per SPE)
Conduct 2 assessments a year to gauge the privacy maturity or attitudes of agencies or individuals.	On track – to be reported on at year-end.	2

Output 2 – Communication and Education

Measure	Achieved As at 30 Sept	Expectation As at 30 Sep (as per SPE)
Education module completions as a percentage of education module registrations in the year.	85%	75%
Respond to all enquiries within 5 working days.	98%	95%
Significant improvements made to digital service channels.	On track – to be reported on at year-end.	Achieved

Output 3 – Compliance and Enforcement

Measure	Achieved As at 30 Sept	Expectation As at 30 Sep (as per SPE)
Notified privacy breaches that are likely to cause serious harm, are followed up with the notifying agency within 10 working days of receipt.	84%	100%
The percentage of externally reviewed compliance investigations that are rated as 3.5 out of 5 or better for quality.	Measured at year-end.	90%
Incoming compliance issues are risk assessed to determinate the appropriate response activity.	92%	100%

Output 4 – Advice and Advocacy

Measure	Achieved As at 30 Sept	Expectation As at 30 Sep (as per SPE)
The percentage of externally reviewed policy and information sharing are rated as 3.5 out of 5 or better for quality.	Measured at year-end.	85%
Undertake 2 projects relevant to setting privacy standards, expectations or guidance in the privacy system.	On track – projects are underway. To be reported on at year-end.	Achieved
All externally reviewed policy files appropriately incorporate Treaty and Te Ao Māori analysis as necessary.	Measured at year-end.	100%

Output 5 – Investigations and Dispute Resolution

Measure	Achieved As at 30 Sept	Expectation As at 30 Sep (as per SPE)
The percentage of notified complaints files closed by settlement between the parties.	54%	50%
The percentage of externally reviewed complaints investigations that are rated as 3.5 out of 5 or better for quality.	Measured at year end.	90%
The percentage of complaints closed during the year that were less than 6 months old at closure.	83%	85%